



Attorney's Docket No. B-3992PCT 618156-1	
COMBINED DECLARATION AND POV (ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENT	
As a below named inventor, I hereby declare that:	
TYPE OF DECLARAT  This declaration is of the following type: (check one application or continuation is for an International Application or continuation-in-part application, do not one of last three items.  [X] national stage of PCT  NOTE: If one of the following 3 items apply, then complete DIVISIONAL, CONTINUATION, OR CIP.  [ ] divisional   [ ] continuation-in-part (CIP)	olicable item below) olication being filed as a divisional, check next item; check appropriate
WARNING: If the inventors are each not the inventor the facts, including the ownership of claimed invention was made, should be se	rs of all the claims an explanation of all the claims at the time the last
My residence, post office address and citizenship are a believe I am the original, first and sole inventor (if or original, first and joint inventor (if plural names are which is claimed and for which a patent is sought on the	nly one name is listed below) or an a listed below) of the subject matter
TITLE OF INVENTION	ON
"PHARMACEUTICAL COMPOSITIONS CONTAININ FOR THE ENHANCEMENT OF ABSORPTION	
SPECIFICATION IDENTIFE the specification of which: (complete (a), (b) or (c))  (a) [ X ] is attached hereto.  (b) [ ] was filed on as [ ] to or [ ] Express Mail No., as Serial No. and was amended on (if	J.S. Serial No. 09 / o. not yet known,
NOTE: Amendments filed after the original papers are of new matter are not accorded a filing date by Accordingly, the amendments involved are those in the case of a supplemental declaration, are the encompassed in the original statement of invention	being referred to in the declaration. e filed with the application papers or, nose amendments claiming matter not
(c) [X] was described and claimed in	

PCT International Application No. PCT/IB00/00167

on \_\_\_\_ (if any).

filed on 16 February 2000 as amended under PCT Article 19 (1)

$\sim$		
ACKNOWLEDG TT OF	REVIEW OF PAPERS	AND IF Y OF CANDOR
$\bigcup$		

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code Federal Regulations § 1.56.

[ ] In compliance with this duty there is attached an information disclosure statement 37 CFR 1.97.

### PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign applications(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

- (d) [ ] no such applications have been filed.
- (e) [X] such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. claimed priority check item (e), enter the details below and make the priority claim.

# EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN(S)) PRIOR TO THIS U.S. APPLICATION

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
Switzerland	0311/99	18 February 1999	[X]YES [ ]NO
			[ ]YES [ ]NO
			[ ]YES [ ]NO
			[]YES []NO
			[ ]YES [ ]NO

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN(S)) PRIOR TO THIS U.S. APPLICATION





## **POWER OF ATTORNEY**



As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Richard P. Berg, Reg. No. 28,145 Mavis S. Gallenson, Reg. No. 32,464 Kam C. Louie, Reg. No. 33,008 Ross A. Schmitt, Reg. No. 42,529 Victor Repkin, Reg. No. 45,039 John Palmer, Reg. No. 36,885 Peter D. Galloway, Reg. No. 27, 885 William R. Evans, Reg. No. 25, 858

(check the following item, if applicable)

[ ] Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO: (Name and telephone number)

Richard P. Berg, Esq.
c/oLADAS & PARRY
5670 Wilshire Boulevard, St.

Richard P. Berg

5670 Wilshire Boulevard, Suite 2100 Los Angeles, California 90036-5679

(323) 934-2300

### **DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

CICNATURE/CV

/ SIGNAT ONE(S)
/ x-go ]
Full name of sole or first inventor / Norberto FESTO
Inventor's signature
Date 19h September 2000 Country of Citizenship Switzerland
Residence Via F. Borromini 3, CH-6900 Lugano, Switzerland
Post Office Address (same as residence)
Full name of second joint inventor, if any
Inventor's signature
Date Country of Citizenship
Residence
Post Office Address

# CHECK PROPER X(ES) FOR ANY OF THE FOLLOWING DED PAGES(S) WHICH FORM A PART OF THIS DECLARATION

[ ] Signature for third and subsequent joint inventors. Number of pages added	
[ ] Signature by administrator(trix), executor(trix) or legal representative for decease incapacitated inventor. <i>Number of pages added</i>	d or
[ ] Signature for inventor who refuses to sign or cannot be reached by person authorize under 37 CFR 1.47. <i>Number of pages added Added</i> pages to combined declaration power of attorney for divisional, continuation-in-part (CIP) application.  *Number of pages added	
* * *	
[ ] Authorization of attorney(s) to accept and follow instructions from representative.	
* * *	
If no further pages form a part of this Declaration then end this Declaration this page and check the following item.	with
[ x ]This declaration ends with this page.	

01
إ
Ųį
<b>#</b> =
## ###
ļ.
ļ
8
Li
Li
L

Applicant or Patentee: No. rto FESTÖ Attorney's Docket N B-3992PCT 618156-1
U.S. Serial or U.S. Patent No.: Not yet assigned
Filed or Issued: <u>concurrently herewith</u> For: "PHARMACEUTICAL COMPOSITIONS CONTAINING COMPOUNDS WITH ACTIVITY FOR THE ENHANCEMENT
OF ABSORPTION OF ACTIVE INGREDIENTS"
verified statement (declaration) claiming small entity $\mathcal{H}$ $\mathcal{J}$
STATUS (37 CFR 1.9 (f) and 1.27(b)) INDEPENDENT INVENTOR(S)
As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled "PHARMACEUTICAL COMPOSITIONS CONTAINING COMPOUNDS WITH ACTIVITY FOR THE ENHANCEMENT OF ABSORPTION OF ACTIVE INGREDIENTS" described in
<pre>[ ] the specification filed herewith. [ ] application serial no.:, filed [XX ] International Application No. PCT/IB00/00167 , filed 16 February 2000.</pre>
I have not assigned, granted, conveyed or licensed and am under no obligation under contact or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR $1.9$ (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under $37$ CFR $1.9$ (d) or a nonprofit organization under $37$ CFR $1.9$ (e).
Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:
<pre>[ ] no such person, concern, or organization [X] persons, concerns or organizations listed below*  *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.  (37 CFR 1.27)</pre>
FULL NAMEINPHARMA S.A
ADDRESS Via F. Borromini 3, CH-6900, Lugano, Switzerland  [ ] INDIVIDUAL [ X ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

<u>Norberto FESTÖ</u> Name of First Inventor

Signature of First Inventor

Date

41
T
,
IJ
#= #=
Ļ٤
ļ.Ł
Ē
<u>L</u> a
<u>l</u>
ų, į

5	EL394034499US
1	
	Applicant or Patentee: Novembre FESTÖ Attorney's Docket i B-3992PCT 618156-1
	U.S. Serial or U.S. Patent No.: Not yet assigned
	Filed or Issued: <u>concurrently herewith</u>
	For: "PHARMACEUTICAL COMPOSITIONS CONTAINING COMPOUNDS WITH ACTIVITY FOR THE ENHANCEMENT
	OF ABSORPTION OF ACTIVE INGREDIENTS"
	VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
	37 CFR 1.9(f) AND 1.27(c) - SMALL BUSINESS CONCERN
	<pre>I hereby declare that I am:     [ ] the owner of the small business concern identified below:</pre>
	[ $oldsymbol{X}$ ] an official of the small business concern empowered to act on behalf of
	the concern identified below:
	Name of Concern: <u>INPHARMA S.A.</u>
	Address of Concern: Via F. Borromini 3, CH-6900, Lugano, Switzerland
2	I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.
#" II II II II III III IIII IIII IIII I	I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: "PHARMACEUTICAL COMPOSITIONS CONTAINING COMPOUNDS WITH ACTIVITY FOR THE ENHANCEMENT OF ABSORPTION OF ACTIVE INGREDIENTS" by inventor(s): Norberto FESTÖ described in:  [ ] the specification filed herewith.  [ ] U.S. application serial no.:, filed  [XX] International Application NoPCT/IB00/00167, filed 16 February 2000.
Marile Missa Har Aparte Har Her	If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below*, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)
dini.	FULL NAME
	ADDRESS
	ADDRESS [ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION
	I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28(b)]
	I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.
	Name of Person Signing: NORBERTO FESTÖ
	Title of Person Other Than Owner:   DIRECTOR
	Address of Person Signing: VA BORROMINI 3 - 6900 LUCANO-SWITZERLAN  Signature: Date: 19th Soptember 2000